PCI DSS 3.2.1 CERTIFICATE OF VALIDATION – 15-Jul-2020

Dara Security is pleased to provide this Certificate of Validation regarding the PCI Assessment and Accreditation for **Sequel Pay, a Level 1 Service Provider located 1013 Centre Road Ste. 403 Washing DE 19805**. Based upon the validation effort, **Dara Security** has determined that Sequel Pay is <u>**COMPLIANT**</u> with the PCI DSS 3.2.1.

Documentation review, preliminary interviews, and technical & physical inspections were completed in June – July 2020. Dara Security, a certified QSA, conducted this audit pursuant to PCI DSS v3.2.1 requirements, standards and guidelines and in accordance with PCI DSS Security Audit Procedures v3.2.1 and generated the PCI DSS Report of Compliance on 15-Jul-2020.

The scope of the assessment included Sequel Pay's infrastructure, systems, and supporting network components involved in transmitting payment card data. The environment on which the assessment focused was on all networks and systems handling or supporting the Sequel Pay service. This included the Boston office and third-party connections. Review areas included: Policy and Procedures; Data Flow Analysis; System Inventory and Documentation; Physical/ Environmental Security; Personnel and IT Staff Training and Awareness; Internal Vulnerability Assessment; Host/Network Diagnostic; Access Control; Data Storage; Wireless Network Analysis; Testing of Deployed Security Measures; and Monitoring/Response Assessment.

Dara Security reviewed results of Sequel Pay's most recent quarterly scans, completed by a certified ASV vendor (Qualys) to confirm they covered all externally accessible (Internet-facing) IP addresses within the scope of the PCI DSS review and that the results of these scans were passing. Reviews of these security scans indicate that Sequel Pay is compliant with the PCI ASV Scan validation requirements.
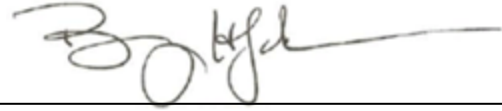
All requirements in the Report on Compliance are marked "in place", thereby Sequel Pay has demonstrated full compliance with the PCI Data Security Standard v3.2.1.

A summary of Sequel Pay's overall compliance with PCI DSS v3.2.1 guidelines and requirements is provided in the table below:

Payment Card Industry (PCI) Section Number and Title	Compliance
1. Install and maintain a firewall configuration to protect data	Yes
2. Do not use vendor-supplied defaults	Yes
3. Protect stored data	Yes
4. Encrypt transmission of CHD across public networks	Yes
5. Use and regularly update antivirus software	Yes
6. Develop and maintain secure systems and applications	Yes
7. Restrict access to data by business need-to-know	Yes
8. Assign a unique ID to each person with computer access	Yes
9. Restrict physical access to cardholder data	Yes
10. Track and monitor all access to network resources and CHD	Yes
11. Regularly test security systems and processes	Yes

12. Maintain a security policy that addresses information security for employees and contractors	Yes

All data and information provided and all analyses completed have been carefully reviewed by Sequel Pay and Dara Security. All inputs and reports related to this PCI Assessment and Accreditation, to the best of our knowledge, accurately reflect Sequel Pay's current state of PCI compliance.

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